

**ARVO WEALTH ADVISORS PVT LTD**  
Annexure B (Complaint Status)

Data for previous month ending **Nov-24**

S No	Received from	Pending at the end of last month	Received	Resolved	Total Pending	Pending Complaints > 3months	*Average Resolution time (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (If any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

\* Average Resolution time is the sum total of time taken to resolve each complaint in day, in the current month divided by the total number of complaints resolved in

**Trend of monthly disposal of complaints**

S No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Dec 2023	0	0	0	0
2	Jan 2024	0	0	0	0
3	Feb 2024	0	0	0	0
4	Mar 2024	0	0	0	0
5	Apr 2024	0	0	0	0
6	May 2024	0	0	0	0
7	June 2024	0	0	0	0
8	July 2024	0	0	0	0
9	Aug 2024	0	0	0	0
10	Sep 2024	0	0	0	0
11	Oct 2024	0	0	0	0
12	Nov 2024	0	0	0	0
	Grand Total	0	0	0	0

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

S No	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2021-22	NA	NA	NA	NA
2	2022-23	NA	0	0	0
3	2023-24	0	0	0	0
	Grand Total	0	0	0	0

\* Inclusive of complaints of previous year resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

Disclosure with respect to compliance with annual compliance audit requirement under Regulation 19(3) of SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 for last and current financial year are as under :

S No	Financial Year	Compliance Audit Status	Remarks, if any
1	2021-22	NA	NA
2	2022-23	Conducted	Conducted
3	2023-24	Conducted	Conducted

ODR Portal could be accessed, if unsatisfied with the response.

Your attention is drawn to the SEBI circular no. SEBI/HO/OIAE/OIAE\_IAD-1/P/CIR/2023/131 dated July 31, 2023, on "Online Resolution of Disputes in the Indian Securities Market".

A common Online Dispute Resolution Portal ("ODR Portal") which harnesses conciliation and online arbitration for resolution of disputes arising in the Indian Securities Market has been established. ODR Portal can be accessed via the following link - <https://smartodr.in/>

**Investment in securities market are subject to market risks. Read all the related documents carefully before investing.**

**Registration granted by SEBI membership of BASL & certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors**